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DOCKET NO.: ISIS-5315

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

C. Frank Bennett, et al.

Confirmation No.: 1919

Application No.: 10/698,689

Group Art Unit: 1645

Filing Date: October 31, 2003

Examiner: Not Yet Assigned

For: ANTISENSE MODULATION OF CD40 EXPRESSION

EXPRESS MAIL LABEL NO: EL998519292US

DATE OF DEPOSIT: November 2, 2004

EL998519292US

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ A copy of reference number **320** listed on the attached Form PTO-1449 is enclosed herewith.

- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

- ☐ The relevance of those listed references which are not in the English language is as follows:

**DOCKET NO.: ISIS-5315**

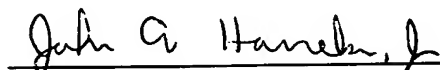
**- 3 -**

**PATENT**

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: November 2, 2004

  
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Sheet 1 of 1

<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. ISIS-5315	Application No. 10/698,689
		Applicant C. Frank Bennett, et al.	
		Filing Date October 31, 2003	Group 1645
		Confirmation No. 1919	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
*	320	Koppelhus, U., et al., "Cell-dependent differential cellular uptake of PNA, peptides, and PNA-peptide conjugates," <i>Antisense &amp; Nucleic Acid Drug Develop.</i> , <b>2002</b> , <i>12</i> , 51-63	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	

\* Listed on PCT Written Opinion dated October 22, 2004 (PCT/US03/31166).

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